

#3p 2/3/ 07-02-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	JOHN A. COPELAND, III)	Group No.	
Serial No :	10/000 396)	Examiner:	

Filed: NOVEMBER 30, 2001

Attorney Docket No. 1

10775-36246

Title: FLOW-BASED D

FLOW-BASED DETECTION OF NETWORK INTRUSIONS

24728 ENT - TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

JUN 2 6 2003

Technology Center 2100

Dear Sir:

The citation of information on the attached Forms PTO/SB/08A and PTO/SB/08B, "Information Disclosure Statement by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

Pursuant to 37 C.F.R. § 1.97(b)(3), the Applicant requests consideration by the Office as this filing is made before the mailing of a first Office action.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

MORRIS, MANNING & MARTIN, LLP Respects

1600 Atlanta Financial Center

3343 Peachtree Road, NE

Atlanta, GA 30326 (404) 504-7652

(404) 364-4578 - fax

bja@mmmlaw.com

http://www.mmmlaw.com

Respectfully submitted,

MORRIS, MANNING & MARTIN, LLP

Brian J. Anderson

Reg. No. - 43,470

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 C.F.R. § 1.8 that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 18, 2003.

Brian J. Anderson, Reg. No. 43,470

PTO/SB/08B (04-03) Approved for use through 04/30/2003. OMB 0651-0031

	Compl t if Kn wn			1449/PTO			
	10/000,396	Applicati n Number					
OEN/IEI	November 30, 2001	Filing Dat	INFORMATION DISCLOSURE STATEMENT BY APPLICANT				
RECEIVE	John A. Copeland III	First Named Inventor					
JUN 2 6 2003	2131	Art Unit	(Use as many sheets as necessary)				
		Examiner Name					
Technology Center 2	10775-36246	Attorney Docket Number	of 2	of	1	Sheet	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KATO, et. al. "A Real-Time Intrusion Detection System for Large Scale Networks and its Evaluations" leice Transactions on Communications, Institute of Electronices Information and Comm. Eng. Tokyo, Japan: November 1999, pages 1817-1825	
		DEBAR, et. al. "Towards a Taxonomy of Intrusion-Detection Systems" Computer Networks, Elsevier Science Publishers, B.V., Amsterdam, NL 23 April 1999, pages 805-822	
	_		
	,,,,		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

e for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary,

 	 	-		,,	
	$\neg \top$	οf	Т	2	

Compl t if Known					
Application Number	10/000,396				
Filing Date	November 30, 2001				
First Named Inventor	John A. Copeland III				
Art Unit	2131				
Examiner Name					
Attomey Docket Number	10775-36246				

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code ^{2 (F known)}	1		Figures Appear
		US-			
		US-			
		US-			DECEIVED
		US-			HEUEIVEP
		US-			JUN 2 6 2003
		US-			3010 2 0 2000
		US-			Technology Center 2100
		US-			1001110109) Oomo -
		US-			
		US-			
		US-			
	1	US-			
		US-			
		US-			
	 	US-			-
	 	US-			
		US-		***	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T	
		PCT/US00/29490	05-03-2001	Diep, et. al.			
		PCT/US99/29080	06-15-2000	Diep, Thanh A.			
	<u> </u>					L	
	ļ					╄	
	<u> </u>					₽	

Examiner	Date	
Signature	Consi	sidered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.